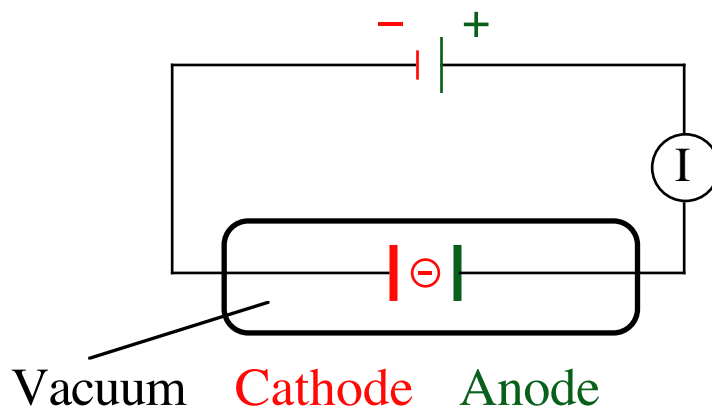


THE OLD QUANTUM THEORY (OF RADIATION)

- (1) Thomson's and Rutherford's experiments and their thoughts about pudding, potatoes, planets - and atoms
- (2) Enter Einstein, cautiously, to explain the photoelectric effect, and to assist at the birth of the photon
- (3) Enter Bohr, discretely, to propose a quantum model of the atom
- (4) Exit Classical Physics (not quite yet ...)

1. Thomson's and Rutherford's experiments and their thoughts about pudding, potatoes, planets and atoms

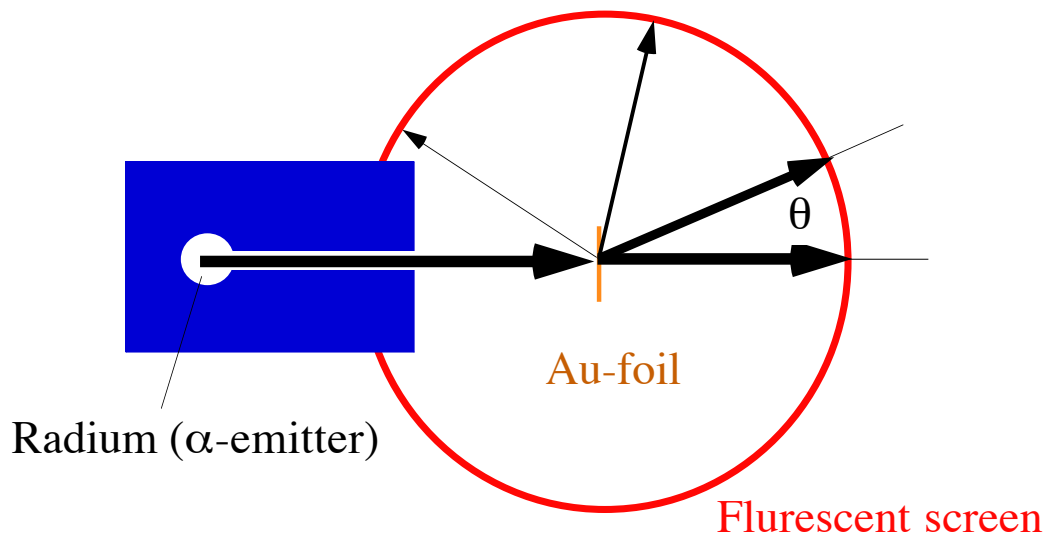
- **J.J. Thomson** (1856-1940), successor to Maxwell and Rayleigh at the Cavendish Laboratory in Cambridge, discovered the electron in “cathode rays” in 1897



- in his setup, Thomson subjected the “rays” to combined electric and magnetic fields - a **mass spectrometer** (not shown) which allowed him to make guesses about their mass and charge: “... the assumption of a state of matter more finely subdivided than the atom is a somewhat startling one. ... [ionization] essentially involves the splitting up of the atom, a part of the mass of the atom [i.e., the electron(s)] getting free and becoming detached from the original atom ...”
- some of his colleagues at the Royal Society thought that he was “pulling their leg”

- the **pudding model of the atom**: J.J. proposed that the atom has the newly discovered electrons embedded somehow **in a sphere of uniform positive charge**, this sphere being the full size of the atom; concluded that the number of electrons in an atom was approximately equal to the atomic number; proposed that by analyzing possible modes of vibration of electrons in these configurations, the color of atoms could be determined
- **in a stable state of the atom, electrons assumed to be stationary (or somehow nonaccelerating) - or otherwise they would radiate and so the atom would lose energy and collapse!**
- **Ernest Rutherford (1871-1937) obtained a fellowship at age 24 to study under J.J. Thomson “That’s the last potato I’ll dig! [at his parents’ farm in New Zealand]”**
- **at the Cavendish “I ... proceeded to make a systematic examination of [radioactive decay], and I found that it was of two types - one which produced intense ionization, and which was absorbed by a few centimeters of air, and the other, which produced less intense ionization, but was more penetrating. I called these alpha rays [He^{++}] and beta rays [electrons], respectively; and when, in 1898, Villard discovered a still more penetrating type of radiation, he called it gamma-radiation [electromagnetic radiation].”**
- **got the 1908 Chemistry Nobel Prize for his work on radioactivity; also worked out a theory, largely wrong, of the transmutation of elements due to radioactive decay**

- the best was yet to come: at the University of Manchester, he continued studying alpha rays and came up with a project for a new student, E. Marsden: “Why not let him see if any alpha particles can be scattered [off a metal foil, such as gold] through a large angle ? I may tell you in confidence that I did not believe that they would be, since we knew that the alpha-particle was a very fast, massive particle ...”



- a few days later Rutherford was told that some of the alpha-particles were coming backward: “It was quite the most incredible event that has ever happened to me in my life. It was almost as incredible as if you fired a 15-inch shell at a piece of tissue paper and it came back and hit you ... On consideration I realized that ... it was impossible to get anything of that order of magnitude unless you took a system in which the greater part of the mass of the atom was concentrated in a minute nucleus.”

- Rutherford devised a model of the atom (Rutherford's **planetary model**) where light electrons orbit an oppositely charged small heavy nucleus; for a hydrogen atom (consisting of just one electron orbiting a single proton), the magnitudes involved are:

$$m_p = 1.6726 \times 10^{-24} \text{ g} \qquad m_e = 9.1095 \times 10^{-28} \text{ g}$$

$$m_p/m_e = 1836.151$$

$$a_0 = 5.292 \times 10^{-9} \text{ cm} \qquad \text{mean separation between } e \text{ and } p$$

$$R \approx 1.5 \times 10^{-13} A^{1/3} \text{ cm} \qquad \text{diameter of a nucleus with a mass number } A \text{ (i.e. a total number of nucleons)}$$

$$R_p \approx 1.5 \times 10^{-13} \text{ cm} \qquad \text{diameter of the proton}$$

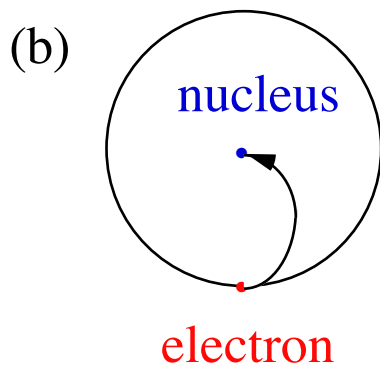
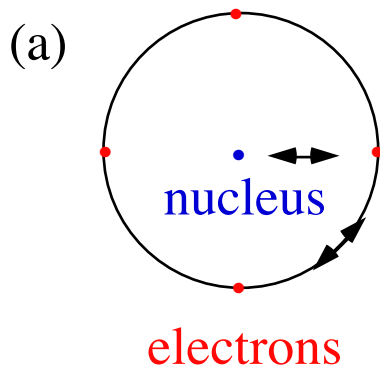
- hence,

$$a_0/R_p \approx 3.5 \times 10^4$$

which is about the ratio of a golf ball diameter to the width of a football stadium

$$(a_0/R_p)^3 \approx 4 \times 10^{13} \qquad \text{atoms (and matter) are mostly empty!}$$

- problems of Rutherford's planetary model: (a) a ring of equidistant electrons orbiting the nucleus is mechanically unstable; (b) a system of orbiting electrons is radiatively unstable, since any orbiting motion is an accelerated one (an accelerated charge produces electromagnetic radiation; therefore, a planetary electron would quickly lose its energy and collapse onto the nucleus); (c) there's no characteristic size of the planetary atom



2. Enter Einstein, cautiously, to explain the photoeffect, and assists at the birth of the photon

- Albert Einstein (1879-1955)

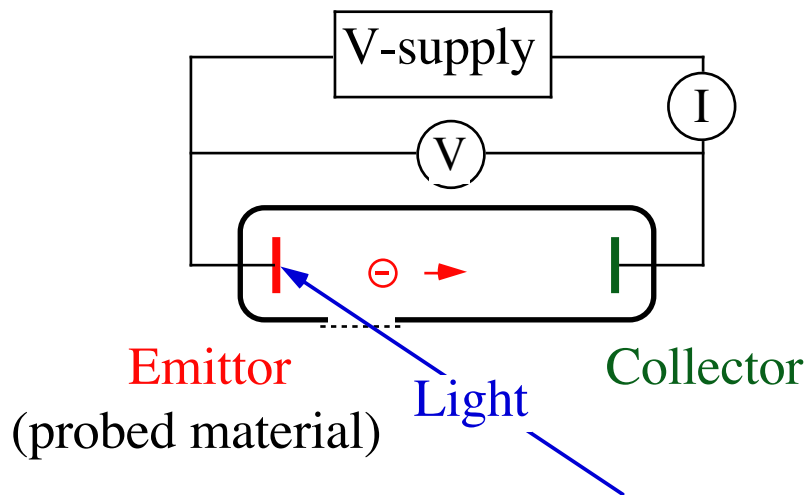
“Subtle is the Lord, but malicious He is not.”



- one of Einstein's 1905 papers accounts for the **Brownian motion** (i.e., motion of microscopically visible particles suspended in liquids) as due to **collisions** between those particles and the **molecules** of the liquid: “The atomic theory has triumphed. ... its adversaries, at last overcome, now renounce one after the other their misgivings which were for so long both legitimate and useful.” (J. Perrin)

- in March 1905, thinking about the black-body radiation law, came up with the **light-quantum hypothesis**: monochromatic black-body radiation behaves as if it consisted of mutually independent energy quanta of magnitude $h\nu$ (hypothesis justified by a similarity between the thermodynamic behavior of light and that of an ideal gas) and a **heuristic principle**: light itself (i.e., electromagnetic radiation in vacuum) consists of particle-like energy quanta, dubbed (in 1926) **photons**
- this was quite an audacious thing to say – since the properties of light in vacuum were described by the mathematically unassailable and experimentally demonstrated Maxwell equations! Hmmm ...
- proposed an explanation of the **photoelectric effect**
- the **photoelectric effect**, discovered by Hertz in 1887 and studied mainly by **J.J. Thomson** and **P. Lenard**, was still a frontier subject in 1905

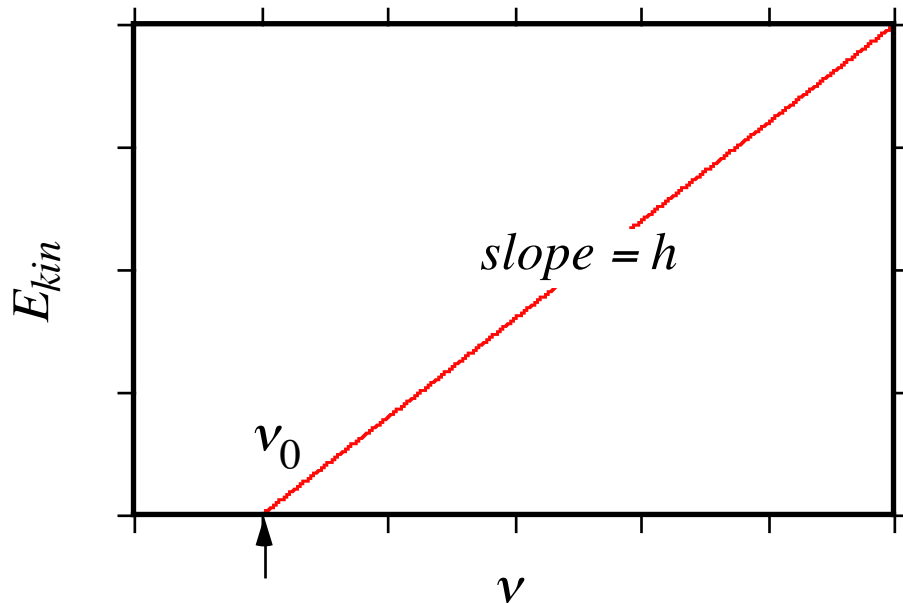
- Thomson was the first to state that the photoelectric current consists of electrons; Lenard measured the kinetic energy of the photoelectrons and found that this is independent of the light's intensity, unlike the photocurrent which is; however, whether there's a photocurrent or not depends on the light's color (i.e., frequency)



- Einstein proposed a “simple explanation:” a photon impinging on a substance gives all its energy, $h\nu$, to a single electron (independently of other photons and electrons); the electron escapes from the substance with a kinetic energy, E_{kin} , diminished by its binding energy, W_0 , in the substance (W_0 is called the work function):

$$E_{kin} = h\nu - W_0$$

- Einstein's formula represents the **second coming of h** , independent of the black-body radiation law; it's equal to the slope of the E_{kin} versus ν dependence, which can be determined experimentally and should be the same for all materials



- the work function

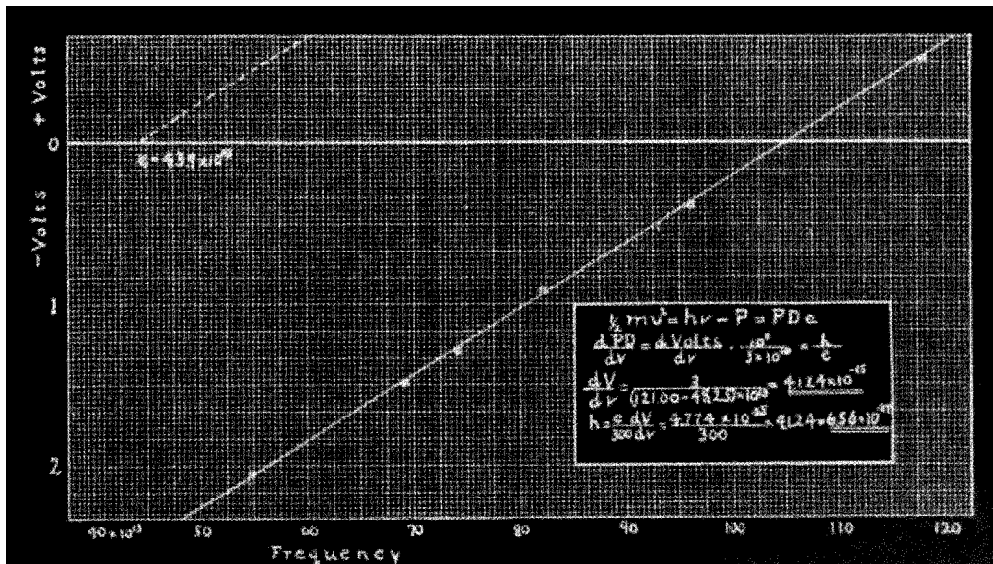
$$W_0 = h\nu_0$$

is material-dependent

- Einstein's explanation of the photoeffect met with much incredulity; the problem was a lack of reliable data to test the theory against; Einstein himself was extremely cautious ...

- only in 1915, [R.A. Millikan](#) (University of Chicago) was able to carry out measurements accurate enough to prove Einstein right; the value of Planck's constant that Millikan obtained was within 0.5% of today's value
- note that the kinetic energy of an electron, E_{kin} , is defined by the potential V the electron is subjected to and by the electron's charge

$$E_{kin} = eV$$



- in 1916-17, Einstein produced three more papers on radiation; in one of them, he derives the black-body distribution law in the way presented in the previous seminar; in the others, he **completes the particle picture of the photon: a photon is a state of the electromagnetic field with a definite frequency and wavevector**
- photon's energy, E , and momentum, p , are

$$E = h\nu \qquad p = \frac{E}{c} = \frac{h\nu}{c} = \frac{h}{\lambda} = \frac{hk}{2\pi} \equiv \hbar k$$

(it took Einstein 12 years to write these side by side)

- in 1924 (two years after receiving the Physics Nobel Prize for the photoeffect), Einstein writes a popular article for *Berliner Tageblatt* about light: “... radiation behaves as if it consisted of discrete energy projectiles, not only in regard to energy transfer, but also in regard to momentum transfer ...”
- BUT: What about electromagnetic waves? What are they really and where do they come from?

3. Enter Bohr, discretely, to propose a quantum model of the atom

- Niels Bohr (1885-1962)

“The opposite of a right statement is a wrong statement. The opposite of a deep truth can also be a deep truth.”



- a theorist familiar with the work of Planck and Einstein, joined Rutherford at Manchester in 1912 - to study radioactivity (not Rutherford's model of the atom, which was largely ignored; in fact even Rutherford was quite reticent about it, in his book and at conferences)
- Bohr came up with the idea that **radioactive displacement** implied that both the α - and β -particles originate in the nucleus - but Rutherford dissuaded him from publishing it

- in 1913, back in Copenhagen, Bohr proposed a model of the atom; **Bohr's model** represents the **third coming of h** ; Bohr set out to resolve the difficulties of the planetary model by introducing a new “hypothesis for which there will be given no attempt at a mechanical foundation (as it seems hopeless) ... The assumption of mechanical forces is not a priori self-evident, for one must assume that there are forces in nature of a kind completely different from the usual mechanical [and electromagnetic] sort ... [An example of this is] the radiation law for high frequencies ...“
- apart from the precedent of the black-body distribution law, Bohr could lean on massive experimental evidence about spectroscopic behavior of atoms, dating back to the alkali spectra discovered by Kirchhoff and Bunsen; in 1860, **A. Ångström** measured the emission spectra of atomic hydrogen in the VIS range whereupon **J. Balmer** found, in 1885, that the hydrogen lines occur at frequencies given by

$$\nu_{mn} = R \left(\frac{1}{m^2} - \frac{1}{n^2} \right)$$

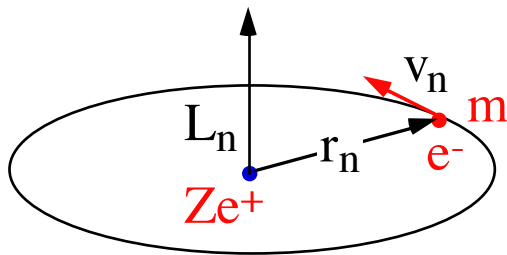
where $m = 2, 3, 4, 5$, $n = 3, 4, 5, 6$, and $R \approx 3 \times 10^{15}$ Hz is now called the **Rydberg constant**; Balmer's formula remained a curiosity until Bohr put his hands on it

- **Bohr's atomic hypothesis** (formulated for the H-atom):

(a) an atom possesses discrete **stationary states** $|1\rangle, |2\rangle, |3\rangle, |4\rangle \dots$ with angular momenta $L_1, L_2, L_3, L_4, \dots$; the state, $|1\rangle$, with the lowest angular momentum, L_1 , is stable (the **ground state**); other states are unstable

(b) the **angular momentum**, L_n , of a stationary state is given by

$$L_n = mv_n r_n = n\hbar \quad \text{with} \quad n = 1, 2, 3, \dots$$



- from the balance between the Coulomb and centrifugal forces

$$\frac{Ze^2}{r_n^2} = \frac{mv_n^2}{r_n}$$

the radius of the electron's orbit can be obtained

$$r_n = \frac{n^2 \hbar^2}{Ze^2 m}$$

- since, classically, the energy of a bound state is

$$E_n = -\frac{L_n^2}{2mr_n}$$

we have for the energy of a stationary state

$$E_n = -\frac{Z^2 e^4 m}{2\hbar^2} \frac{1}{n^2}$$

(c) when an atom changes its state (**undergoes a transition**), the **energy difference**, $|E_n - E_m|$, of any two states $|m\rangle, |n\rangle$ is **equal to a single light quantum of energy**

$$|E_n - E_m| = h\nu_{nm} = \frac{Z^2 e^4 m}{2\hbar^2} \left| \frac{1}{n^2} - \frac{1}{m^2} \right|$$

- this we recognize as the Balmer formula if

$$R = \frac{Z^2 e^4 m}{4\pi\hbar^3}$$

which simplifies for the hydrogen atom to

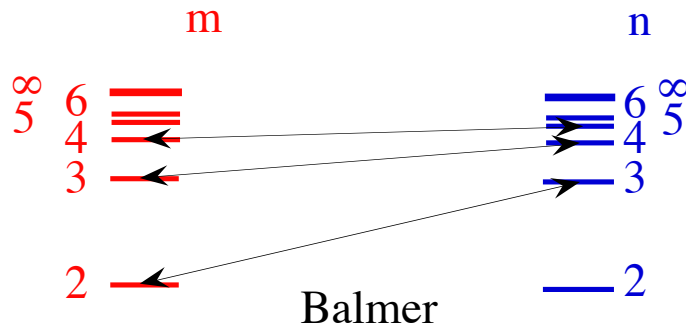
$$R = \frac{e^4 m}{4\pi\hbar^3} = 3.28 \times 10^{15} \text{ Hz}$$

- thus Bohr's model renders the value of the Rydberg constant; this was a great triumph of the model
- the ground-state energy and the ground-state orbit radius (Bohr radius) are

$$E_1 = -\frac{e^4 m}{2\hbar^2} = 13.6057 \text{ eV} \quad \& \quad r_1 = \frac{\hbar^2}{e^2 m} = 0.529 \text{ \AA}$$

where $1 \text{ eV} = 1.6022 \times 10^{-19} \text{ J}$ and $1 \text{ \AA} = 10^{-8} \text{ cm}$

- for $n > m$, the transition $|n\rangle \rightarrow |m\rangle$ corresponds to **emission** and the $|m\rangle \rightarrow |n\rangle$ transition corresponds to **absorption** of the light quantum (photon) $h\nu_{nm}$



— 1 ground state, E_1

- there are infinitely many bound states and infinitely many transitions; all hydrogen spectra thereby interpreted
- in each stationary state, the atom has a size

$$r_n = \frac{n^2}{Z} r_1$$

- Bohr's model of the atom is also a model of where light comes from**

- a twist: what about the emission spectrum of He^+ where $Z = 2$?

$$\Delta E \equiv E_n - E_m = \frac{Z^2 e^4 m}{2\hbar^2} \left(\frac{1}{m^2} - \frac{1}{n^2} \right) \text{ for } n > m$$

and hence

$$\frac{\Delta E(\text{He}^+)}{\Delta E(\text{H})} = \frac{Z^2(\text{He}^+)}{Z^2(\text{H})} = 4$$

- Experiment:

$$\frac{\Delta E(\text{He}^+)}{\Delta E(\text{H})} = 4.0016 \quad (\text{Pickering, in 1896, at Harvard})$$

- Bohr's correction:

$$m \rightarrow \mu \equiv \frac{mM}{m + M}$$

and hence

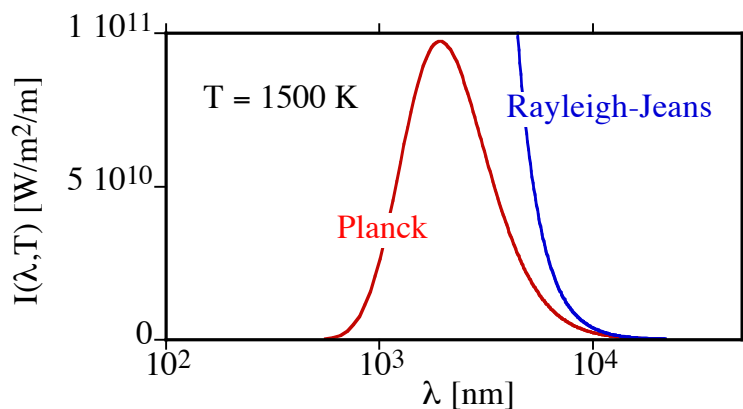
$$\frac{\Delta E(\text{He}^+)}{\Delta E(\text{H})} = \frac{Z^2(\text{He}^+) \mu(\text{He}^+)}{Z^2(\text{H}) \mu(\text{H})} = 4.00163$$

which is an agreement within an accuracy that was unprecedented in spectroscopy

- Einstein's reaction: "This is an enormous achievement. The theory of Bohr must then be right."

4. Exit Classical Physics (not quite yet ...)

- **classical mechanics** (which includes Maxwell's electrodynamics) is a **special case** of a more general theory, **quantum mechanics**
- **the correspondence principle** (Bohr's invention) is an expression of this connection: under conditions that quantum mechanics can predict, a quantum mechanical treatment coincides with a classical one
- example: the black body radiation law



the (classical) Rayleigh-Jeans law

$$I_{R-J}(\lambda, T) = \frac{2\pi ckT}{\lambda^4}$$

becomes a special case of the (quantum) Planck law

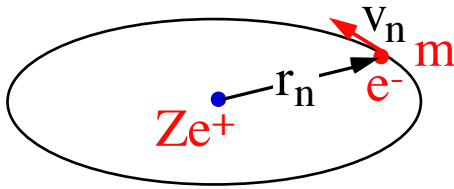
$$I(\lambda, T) = \frac{2\pi hc^2}{\lambda^5} \frac{1}{\exp\left[\frac{hc}{\lambda kT}\right] - 1}$$

which happens under a condition that can be predicted from the quantum side, namely for

$$\frac{hc}{\lambda kT} = \frac{h\nu}{kT} \ll 1 \quad \text{i.e., for} \quad h\nu \ll kT$$

when the spacing between energy levels is much smaller than kT and therefore the levels behave as if they were continuous

- what about the correspondence principle for Bohr's atom?



a classical H-atom represents an accelerated dipole expected to radiate at a frequency equal to the frequency of the electron's orbiting motion

$$f_n = \frac{v_n}{2\pi r_n}$$

with

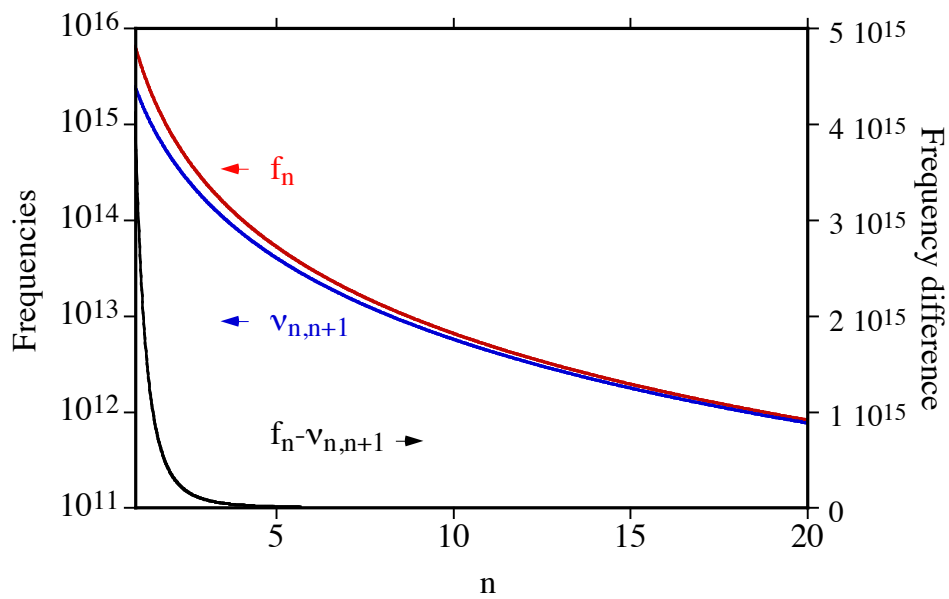
$$r_n = \frac{Ze^2}{mv_n^2} \quad \& \quad v_n = \left(\frac{2E_n}{m} \right)^{1/2} \quad \& \quad r_n = \frac{Ze^2}{2E_n}$$

hence

$$f_n = \frac{(2E_n^3 m)^{1/2}}{\pi Ze^2} = \frac{4\pi^2 m Z^2 e^4}{n^3 h^3} = \frac{2R}{n^3}$$

But: the quantum frequency of the radiation is

$$\nu_{n,n+1} = R \left(\frac{1}{n^2} - \frac{1}{(n+1)^2} \right) \approx \frac{2R}{n^3} \quad \text{for } n \text{ large}$$



- hence for high n , when the atomic levels come so close to one another that a change from one to the next is almost continuous, the atom produces the same radiation as a corresponding classical dipole